

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,296	08/09/2001	Yasuo Takahashi	OOCL-65 (US-P1496)

CONFIRMATION NO. 2674

26479
STRAUB & POKOTYLO
1 BETHANY ROAD, SUITE 83
BUILDING 6
HAZLET, NJ 07730

FORMALITIES LETTER



OC000000006789539

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/10/2001 JADD01 00000017 09925296
01 FC:101 740.00 OP
02 FC:105 130.00 OP



SECTOR
\$

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: OOCL-65 (US-P1496)

Applicant: Yasuo TAKAHASHI

Serial No.: 09/925,296 /

Filing Date: August 9, 2001

Title: BATTERY-OPERABLE PRINTER

Examiner: Not yet assigned

Group Art Unit: 2861

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

MISSING PARTS TRANSMITTAL

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on September 25, 2001 and setting a time for response to expire on November 25, 2001, in connection with the above-captioned patent application, applicant encloses the following:


- ☒ Postcard receipt;
- ☐ **Assertion of Small Entity Status -**
Small Entity Status is hereby claimed;
- ☒ Executed Declaration and Power of Attorney
(3 pgs.);
- ☒ Copy of application as filed;
- ☐ English translation document;
- ☒ Assignment with Recordation Form Cover Sheet
(in duplicate) to Olympus Optical Co., Ltd. (4 pgs.);
- ☐ Information Disclosure Statement, modified PTO-1449,
and (0) cited reference(s);
- ☒ Copy of PTO-1533 (Notice to File Missing Parts)
issued in connection with this application;

- ☒ A claim to priority (included in declaration);
- ☒ Submission of Priority Document form and Certified copy of Japanese Application Nos. 2000-249915 and 2001-238323, filed on August 21, 2000 and August 6, 2001 respectively;
- ☒ Fee Transmittal form; and
- ☒ Our check in the amount of \$ 910.00 to cover the basic filing fee of \$ 740.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 for late filing of the declaration, and an assignment recordation fee of \$ 40.00.

In the event the enclosed check is unacceptable, insufficient or is omitted, kindly charge the additional or entire cost, as appropriate, to the deposit account of **STRAUB & POKOTYLO**, deposit account number 50-1049. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

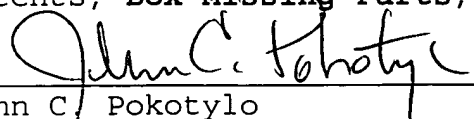
October 18, 2001


John C. Pokotylo, Attorney
Reg. No. 36,242
Customer No. 26479
(732) 335-1222

STRAUB & POKOTYLO
1 Bethany Road
Suite 83, Building 6
Hazlet, New Jersey 07730

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **October 18, 2001** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.


John C. Pokotylo
36,242
Reg. No.



Modified PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/925,296
Filing Date	August 9, 2001
First Named Inventor	Yasuo TAKAHASHI
Examiner Name	Not yet assigned
Group Art Unit	2861
Attorney Docket No.	OOCL-65 (US-P1496)

TOTAL AMOUNT OF PAYMENT (\$) 910.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to:

Deposit Account Number 50-1049
Deposit Account Name Straub & Pokotylo

☒ Charge any deficiency or credit any overpayment

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	18.00	00.00
2	-3** = 0	84.00	00.00
Multiple Dependent Claims			

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 00.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type) John C. Pokotylo

Registration No. 36,242
(Attorney/Agent)

Complete (if applicable)

Telephone (732) 335-1222

Signature

John C. Pokotylo

Date

October 18, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.